IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2875_

Applicant:

SHIMAOKA, et al.

Examiner:

A. Cariaso

Serial No.:

09/701,330

Group Art Unit:

2875

Filed:

November 27, 2000

Docket:

10873.610USWO

Confirmation

7900

Notice of Allow. Date:

No.:

October 3, 2003

Due Date: Oct

LIGHT SOURCE DEVICE, ADJUSTING DEVICE THEREFOR AND PRODUCTION METHOD THEREFOR, AND ILLUMINATING DEVICE AND PROJECTION TYPE

DISPLAY DEVICE PROVIDED WITH LIGHT SOURCE DEVICE

O TONE

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on August 27, 2003.

By: Name: Peggy Kerkhove

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Supplemental Information Disclosure Statement, Form 1449, 4 Reference(s), Copy of European Search Report

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Douglas P. Mueller

Reg. No.: 30,300

DPM/pjk

Customer Number: 23552

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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S/N 09/701,33

SHIMAOKA, et al.

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Title:

LIGHT SOURCE DEVICE, ADJUSTING DEVICE THEREFOR AND PRODUCTION METHOD THEREFOR, AND ILLUMINATING DEVICE

AND PROJECTION TYPE DISPLAY DEVICE PROVIDED WITH LIGHT

SOURCE DEVICE

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Name: Peggy Kerkhove

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a European Search Report mailed July 3, 2003.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that

each item of information listed on the enclosed Form 1449 was first cited in a communication

from a foreign patent office in a counterpart foreign application within three months of filing this

statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information

listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C.

§§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is

intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of

M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

as being considered and initialed by the Examiner, to the undersigned with the next official

communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-

2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

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ouglas P. Mueller

Reg. No. 30,300

DPM/pjk

Dated: August 27, 2003

Customer Number: 23552

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FORM 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)



Docket Number:	Application Number:
10873.610USWO	09/701,330

Applicant: SHIMAOKA, ET AL.

Filing Date: November 27,

Group Art Unit: 2875

		U.S. PA	TENT DOCUMENT	'S	- 				
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	3,703,635	November, 1972	Burkarth						
	3,827,782	August, 1974	Boudouris et al.						
		-		 		<u> </u>			
			-	<u> </u>			<u>-</u>		
	<u> </u>	FOREICN	DATENT DOCUME	NTC.		<u> </u>			
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	LASS TRANSLATION			
	DOCUMENT NO.	DATE	COUNTRI	CLASS	SUBCLASS	YES	NO		
	7-288018	October, 1995	Japan	<u> </u>		Abstract			
	9-138378	May, 1997	Japan			Abstract			
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	OTHER	DOCUMENTS (Inclu	uding Author, Title, Da	ate, Pertinent I	Pages, Etc.)	ــــــــــــــــــــــــــــــــــــــ	L		
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23552
PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.